



PATENT APPLICATION

Attorney Docket No. 62561  
Date: August 24, 1998

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): GUSTIN, ET AL.  
Application No.: 09/113,913  
Filed: July 10, 1998  
For: AUTOMATED DOCUMENT CASHING SYSTEM

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this date.

9/19/98  
Date Registration No. 19958  
Attorney for Applicant(s)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box MISSING PARTS  
Commissioner of Patents and Trademarks  
ATTENTION: Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated August 17, 1998, enclosed are:

- (X) A copy of the Notice to File Missing Parts.
- (X) An executed Declaration for Patent Application, including Power of Attorney.
- (X) Assignment(s) of the invention to CAPITAL SECURITY SYSTEMS, INC., and Recordation Form Cover Sheet - Patents Only - Form PTO-1595.
- (X) A check in the amount of \$80.00 to cover the fee for recording the assignment(s).

Fee Calculation For Claims As Filed

(a) Basic Fee		\$790.00	\$ 790.00
(b) Independent Claims	<u>18</u> - 3 = <u>15</u>	x \$ 82.00	= \$ 1,230.00
(c) Total Claims	<u>90</u> - 20 = <u>70</u>	x \$ 22.00	= \$ 1,540.00
(d) Fee for Multiply Dependent Claims		\$270.00	\$ --
(e) Surcharge under 37 C.F.R. §1.16(e) (nonprovisional application)		\$130.00	\$ 130.00
(f) Surcharge under 37 C.F.R. §1.16(1) (provisional application)		\$ 50.00	\$ --

Total Filing and/or Surcharge Fee \$ 3,690.00

(X) Small Entity Fee (reduced by half) \$ 1,845.00

- ( ) Statement(s) of Status as Small Entity.
- (X) A check in the amount of \$ 1,925.00 to cover the Filing and/or Surcharge Fee is enclosed.
- ( ) Charge \$ \_\_\_\_\_ to Deposit Account No. 06-1135.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in triplicate.

9/9/98  
(Date)

James J. Hamill

Registration No. 19,958

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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/113-913	07/10/98	GUSTIN	R 62561

0262/0817

FITCH EVEN TABIN AND FLANNERY  
135 SOUTH LASALLE STREET  
SUITE 900  
CHICAGO IL 60603-4277

NOT ASSIGNED

2761

Handwritten: *liquid 1798 p*

DATE MAILED: 08/17/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☒ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 145.00

- ☒ 1. The statutory basic filing fee is:
- ☒ missing.
  - ☐ insufficient.
- Applicant must submit \$ 395.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☒ 2. Additional claim fees of \$ 138.50, including any multiple dependent claim fees, are required.
- \$ 615.00 for 15 independent claims over 3.
- \$ 770.00 for 70 dependent claims over 20.
- \$ \_\_\_\_\_ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☐ 3. The oath or declaration:
- ☐ is missing or unexecuted.
  - ☐ does not cover the newly submitted items.
  - ☐ does not identify the application to which it applies.
  - ☐ does not include the city and state or foreign country of applicant's residence.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.
- See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*Shella H. Davis T.C.*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FORM PTO-1533 (REV.9-97)

09/18/1998 LSNEED 00000067 09113913  
55.00  
395.00  
615.00  
770.00  
01 FC:205  
02 FC:201  
03 FC:202  
04 FC:203

**BEST AVAILABLE COPY**